

Hani I. Alaiwat MA, IFQ, CAMS

PERSONAL SUMMARY

An effective leader with proven abilities in leading teams, strong problem solving & technical skills coupled with confident decision making for enabling effective solutions. Well organized and able to multi- task, passionate desire to excel against demanding customer expectation. Contribution towards development and implementation of various banking related systems and software's. I have around eighteenth years of experience, 13 of which are in Audit and Compliance with Jordan Islamic Bank, Arab Bank, Dubai Islamic Bank "UAE", and Ajman Bank "UAE". Solid knowledge of Risk Based audit framework, COSO Risk Management Module, Basel Committee on banking supervision.

Personal Details

Name	Hani Ibrahim Ahmad Alaiwat
Nationality	Jordanian
Date of Birth	1-1-1977
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Languages	Arabic – Mother Tongue English – Fluent

Educational Background

School/Institute	Degree
Arab Academy for Finance and Banking Sciences	Master's Degree in Finance & Accounting - 2000
Securities & Investment institution (SII)- England	Certified Islamic Finance Qualification "IFQ" - 2008 <u>"I'm the first person in Jordan to receive this certificate"</u>
ACAMS, USA, FL	CAMS " Certified Anti -Money Laundering Specialist" - 2013

CAREER HISTORY

Arab Bank PLC. – Head office (Jordan)

*Group Regulatory Compliance – Head of Subsidiaries Compliance/ Group Compliance
“i.e. AML & Sanctions, E-Banking, consumer, operations, treasury, and customer
complaints (January 2016 – current position)*

Highlights

- Serving as a member in Arab Bank Innovations Champions Committee – global level, where I’m participating in all Arab Bank Digital Banking Projects and Initiatives across the Global.
- Maintain leading investigative policies and practices related to AML/CFT, Fraud, and Code of conduct & W/B. Keep abreast of industry and legal developments relevant to anti-fraud, anti corruption and investigation activities. Proactively consider new and innovative ways of doing things that meet the needs of internal and external customers.
- Support the development of reports and other materials to communicate the results of investigations, including control deficiencies or weaknesses, fraud matters, root causes, and recommendations, to various stakeholders and difference audiences.
- Support the development of various communications for different internal and external stake holders i.e. Board of Directors, departments Chiefs, Regulatory Authorities, Interior Ministry, Anti-Corruption Committee, Anti- Money Laundering Unit, and others and uses to contribute to the collective understanding of anti-fraud and investigative trends, risks, issues, and solutions.
- Oversees the development and maintenance of the Bank communications channels including methods to deliver message, ease of navigation, and clarity of information; ensures that all content is current and relevant to meet regulatory requirements. Oversees corporate communications and branding in various online and print platforms such as LinkedIn, Twitter, Facebook and industry magazines.
- Working with process owners to document all relevant processes and internal controls using flowcharts and narratives
- Designing and enhancing the Compliance Department Charter, Manuals, policies, and procedures, and systems,
- Execute Continual monitoring process to provide senior management with standardized monitoring and trending reports to ensure overall awareness of risk.
- Participate in special compliance projects which allows for a heightened level of aberrance and understanding.
- Monitoring and analyzing the Key Performance indicators (KPIs’), compliance self-assessment (CSA’s) and the stop light reports (SLR’S) for each area.

Ajman Bank (UAE)

VP & Head of Compliance and MLRO (March 2015 – December 2015)

Highlights

Chosen as Secretary of Risk & Compliance Board Committee, Assessing the regulatory compliance risk, Testing the design and effectiveness of related mitigating controls, Reporting the controls gaps identified along with remedial action plan to the respective Line of Business (LOB) and, Report the overall compliance's status to the management.

Dubai Islamic Bank – The first Islamic Bank in the world (UAE)

Head of Regulatory Compliance and Monitoring (September 2012 – March 2015)

Highlights:

- Establishing and maintaining effective Compliance policies, procedures, systems and controls in compliance with applicable legislation in the UAE.
- Serving as focal point of contact with internal & external stakeholders (example: varies departments, regulators, police, and other investigation bodies)
- Ensures reporting to stakeholders contains quality information regarding compliance activities and status of significant compliance issues
- Review and approve all types of communications pertaining to new products and services, sales and marketing campaigns ...etc.
- Represent the bank in meetings, conferences, workshops
- Ensures reporting to stakeholders contains quality information regarding compliance activities and status of significant compliance issues
- Provides independent evaluation of the Compliance Program (Regulatory & AML) and an evident based approach to determine whether key systems and processes are appropriately designed and are functioning as intended
- Developed and deployed successfully the Compliance Risk-Based Monitoring Program

Arab Bank Group / Islamic International Arab Bank

Executive Director and Head of Compliance and MLRO (September 2008 – August 2012)

Highlights:

- Present the annual compliance monitoring plan and quarterly assessment results to the Audit Committee of the Board of Directors.
- Conducting periodic risk assessment by line of business to determine and analyze the overall risk profile. That includes using of –but not limited to– go through processes, face to face meeting, checklists, questioners and surveys.
- Preparing annual compliance and monitoring plan
- Handling any internal suspicious transaction reports and taking appropriate actions following the receipt of such reports,
- Developing, coordinating, and participating in a multifaceted educational and training program that focuses on the elements of the compliance program, and seeks to ensure that all appropriate employees and management are knowledgeable of, and comply with, related rules and regulations.
- Maintain effective working relations with executive and operational management through ad hoc meetings with every division president to review areas of risk and potential concerns.

Jordan Islamic Bank

Sr. Internal Auditor and Compliance Officer (August 2006 – August 2008)

Highlights

- Audit Branches, and all departments/units in the light of Central Bank Regulations, internal Policies and best practices.
- Audit the banking letter of credits, banking letter of Guarantees, and sight documentary collections, bills of exchange, maturity collections. Cash against documents, leasing operation related to trade services,
- Follow up of customer risks and facilities,
- Conduct the day to day investigations pertaining to Cash reconciliation, disputes, frauds, negligence, etc. and presenting reports to Senior Management.
- Serve as program coordinator for Risk Based Auditing program

Others

From March 2006 till July 2006: Administrative & Financial Officer J&S Foreign Car, USA

From April 2005 till Feb. 2006: Debt Recovery Assistant Manager at Lema Company.
Amman, Jordan

From 2000 until 2004: Teaching and Training work experience

References

Would be provided upon request
